

## FORMALITIES LETTER



\*OC00000005314280\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/578,900	05/26/2000	John P. Carulli	032796-019

21839  
BURNS DOANE SWECKER & MATHIS L L P  
POST OFFICE BOX 1404  
ALEXANDRIA, VA 22313-1404



Date Mailed: 08/10/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1734.
  - \$486 for 27 total claims over 20.
  - \$1248 for 16 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2554.**

*A copy of this notice **MUST** be returned with the reply.*

*Handwritten signature*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

10/16/2000 AGOITOM 00000039 09578900 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	243.00 OP
04 FC:202	600.00 OP
05 FC:299	14.00 OP

secretor  
§

Patent  
Attorney's Docket No. 032796-019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

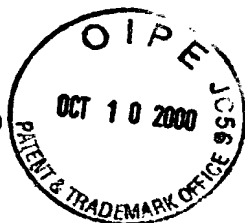
In re Patent Application of

John P. CARULLI et al.

Application No.: 09/578,900

Filed: May 26, 2000

For: REGULATING LIPID LEVELS VIA  
THE ZMAX1 OR HBM GENE



Group Art Unit: 1643

Examiner: Unassigned

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(e) dated August 10, 2000, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the  
surcharge of ☒ \$65.00 (205) [ ] \$130.00 (105) as set forth in 37 C.F.R.  
§ 1.16(e);
- [ ] Note that the inventor(s) identified on the currently filed Combined  
Declaration and Power of Attorney are different than listed on the application  
filing papers.
- [ ] a Request for Refund;
- [ ] a Petition for Extension of Time;
- [ ] a verified English translation of the Application, and the \$130.00 (139) fee as set  
forth in 37 C.F.R. § 1.17(k);
- [ ] an Assignment document and a separate check for the Assignment recordation fee;
- ☒ other Information Disclosure Statement and copy of Notice to File Missing Parts ;
- ☒ a check in the amount of \$ 1,277.00 for the fee due for missing parts; and
- [ ] charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for  
missing parts.

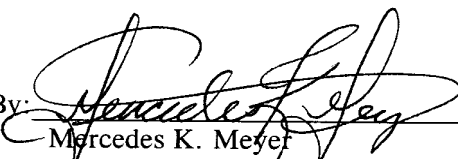
[X] Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By:   
Mercedes K. Meyer  
Registration No. 44,989

Date: October 10, 2000